



RECEIVED Sheet 1 of 2



<b>PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant</b> (Use several sheets if necessary)  <b>U.S. Department of Commerce Patent and Trademark Office</b>		<b>Docket No.</b> P23,305-A USA		<b>Application No.</b> APR 12 2002 09/935,287 Technology Center 2100		
		<b>Applicant(s)</b> J. Kopelman, C. Fralic, S. Balijepalli				
		<b>Filing Date</b> 8-22-2001		<b>Group Art Unit</b> <del>2165</del> 3627		
<b>U.S. PATENT DOCUMENTS</b> <i>(Part of Paper #4)</i>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>
<i>[Signature]</i>	AA	5,664,111	09/02/1997	K. Nahan et al.	705	27
	AB	5,732,400	03/24/1998	M. Mandler et al.	705	26
	AC	5,797,127	08/18/1998	Walker et al.	705/5	705/37
	AD	5,845,265	12/01/1998	T. Woolston	705	37
	AE	5,960,411	09/28/1999	P. Hartman et al.	705	26
	AF	6,012,045	01/04/2000	N. Barzilai, R. Davidson	705	37
<i>[Signature]</i>	AG	6,016,504	01/18/2000	J. Arnold et al.	709	200
	AH	6,029,141	02/22/2000	J. Bezos et al.	705	27
	AI					
	AJ					
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b> Yes No	
<i>[Signature]</i>	AL	WO 99/07121	11/02/1999	PCT	X	
	AM					
<b>Examiner</b> <i>[Signature]</i>		<b>Date Considered</b> 12-23-2004				



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Sheet 2 of 2

<b>Form PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</b>  <b>U.S. Department of Commerce Patent and Trademark Office</b>		<b>Technology Center 2100</b>	
		<b>Docket No.</b> P23,305-A USA	<b>Application No.</b> 09/935,287
		<b>Applicant(s)</b> J. Kopelman, C. Fralic, S. Balijepalli	
		<b>Filing Date</b> August 22, 2001 <i>(Part of Ref #4)</i>	<b>Group</b> <del>2165</del> 3627
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	AA	Mothers' Online Thrift Shop [online][excerpts printed on 6/17/2000-6/19/2000]. Retrieved from <a href="http://www.motshop.com">http://www.motshop.com</a>	
	AB	"Method For Buying and Selling Merchandise In A Network Of Users," a document alleged to have been filed as a patent application around June 1999.	
	AC		
	AD		
	AE		
	AF		
	AG		
	AH		
	AI		
	AJ		
	AK		
	AL		

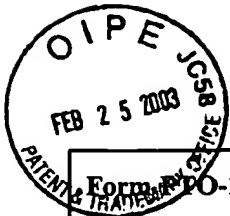
Examiner:



Date: 12-27-2004

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<b>Form PTO-1449</b>  <b>List of Patents and Publications Cited by Applicant</b> (Use several sheets if necessary)  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>		<b>Docket No.</b> P23,305-A USA		<b>Application No.</b> 09/935,287		
		<b>Applicant(s)</b> Joshua M. Kopelman, Srinivas Balijepalli, C. Fralic				
		<b>Filing Date</b> August 22, 2001		<b>Group</b> <del>2405</del> 3627		
<b>U.S. PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>
<i>JL</i>	AA	5,790,790	8/4/1998	Smith	395	200.36
	AB	6,047,264	4/4/2000	Fisher et al.	705	26
	AC	6,061,448	5/9/2000	Smith et al.	380	21
	AD	6,119,137	9/12/2000	Smith et al.	707	523
	AE	6,178,408 B1	1/23/2001	Copple et al.	705	14
	AF	6,192,407 B1	2/20/2001	Smith et al.	709	229
	AG	6,243,691 B1	6/5/2001	Fisher et al.	705	37
	AH					
	AI					
	AJ					
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b>	
					<b>Yes</b>	<b>No</b>
<b>OTHER PUBLICATIONS (Including Author, Title, Date Pertinent Pages, Etc.)</b>						
<b>Examiner</b>	<i>[Signature]</i>		<b>Date Considered</b> 12-23-2004			



<b>Form PO-1449</b>			<b>Docket No.</b> P23,305-A USA		<b>Application No.</b> 09/935,287	
<b>List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</b>  <b>U.S. Department of Commerce Patent and Trademark Office</b>			<b>Applicant(s)</b> Joshua M. Kopelman and Srinivas Balijepalli			
			<b>Filing Date</b> August 22, 2001		<b>Group Art Unit</b> <del>2165</del> 3627	
<b>U.S. PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>
<i>Jde</i>	CA	4,613,946	09/23/1986	Forman	364	518
	CB	4,799,154	01/17/1989	Shavit et al.	705	26
	CC	5,592,375	01/07/1997	Salmon et al	395	207
	CD	5,715,402	02/03/1986	Popolo	395	237
	CE	5,950,178	09/07/1999	Borgato	705	37
	CF	6,415,269	07/02/2002	Dinwoodie	705	37
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					<b>GROUP 3600</b>	
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initials</b>		<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Translation</b> Yes No	
<b>OTHER PUBLICATIONS (Including Author, Title, Date Pertinent Pages, Etc.)</b>						
<b>Examiner:</b>		<i>[Signature]</i>		<b>Date Considered</b> 12-27-2004		

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**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**  
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Complete if Known

Application Number 09/935-287

Filing Date 08/21/2001

First Named Inventor Joshua M. Kopelman

Group Art Unit 2165 3627

Examiner Name ~~W.A. O'Connor~~

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**GROUP 3600**  
 (Part of Paper #8)

Sheet 1 of 3

Attorney Docket No: P23,305-A USA

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
<i>JE</i>	US-20010037255	11/01/2001	Tambay, Roger, et al.			03/13/2001
	US-20020143660	10/03/2002	Himmel, Maria A., et al.			03/29/2001
	US-20020156686	10/24/2002	Reiner, Kraft, et al.			02/14/2001
	US-20020184116	12/05/2002	Tam, Richard K., et al.			04/04/2001
	US-20030093331	05/15/2003	Childs, Jane, et al.			11/13/2001
	US-5,825,881	10/20/1998	Colvin, Bryan S.			06/28/1996
	US-5,842,199	11/24/1998	Miller, Bradley N., et al.			10/18/1996
	US 5,897,622	04/27/1999	Blinn et al.			10/16/1996
	US-6,016,475	01/18/2000	Miller, Bradley N., et al.			10/08/1996
	US 6,055,513	04/25/2000	Katz et al			03/11/1998
	US 6,101,484	08/08/2000	Halbert et al			03/31/1999
	US-6,108,493	08/22/2000	Miller, Bradley N., et al.			10/07/1996
	US-6,119,101	09/12/2000	Peckover			01/17/1997
	US-6,308,168	10/23/2001	Dovich, Ronald J., et al.			02/09/1999
	US-6,321,221	11/20/2001	Bieganski, Paul			07/17/1998
	US-6,334,127	12/25/2001	Bieganski, Paul, et al.			07/17/1998
	US 6,356,879 B2	03/12/2002	Aggarwal et al.			10/19/1998
<i>JE</i>	US-6,412,012	06/25/2002	Bieganski, Pau , et al.			12/23/1998

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass
<i>JE</i>	EP-0957437	11/17/1999	Manohar, Nelson R., et al.		
	WO-0017792	03/30/2000	Linden, Gregory D., et al.		
	WO-0017793	03/30/2000	Jacobi, Jennifer A., et al.		
	WO-0045319	08/03/2000	Forster, Kenneth G., et al.		
	WO-0131537	05/03/2001	Brodsky, Alex, et al.		
	WO-0133401	05/10/2001	Brodsky, Alex, et al.		
	WO-0116848	03/08/2001	Bowman-Amuah, Michel K.		
	WO-0129726	04/26/2001	Loucks, Russel J., et al.		
<i>JE</i>	WO-02037926	05/16/2002	Katz, Ronald A., et al.		
	WO-0219203	03/07/2002	Gregov, Andrej, et al.		

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 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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Application Number	09/935-287
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First Named Inventor	Joshua M. Kopelman
Group Art Unit	<del>2165</del> 3627
Examiner Name	<del>W.A. O'Connor</del>

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**GROUP 3600**

Sheet 2 of 3

Attorney Docket No: P23,305-A USA

(Part of Paper # 8)

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass
<i>JS</i>	WO-0229695	04/11/2002	Sobrado, Jose A., et al.		

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>JS</i>		@MOTSHOP.COM, "Mothers' Online Thrift Shop", Storefront Development Corporation, at Mothers' Online Thrift Shop, ( <a href="http://www.motshop.com">http://www.motshop.com</a> ) excerpts printed 6/17/2000-6/19/2000, (6/17/2000), 25
		AMAZON, "Amazon.com: Books", [online] [Retrieved on 7/8/02] Retrieved from the Internet using <URL <a href="http://www.amazon.com/exec/obidos/tg/browse/-/283155/ref=tab_qw_b_3/102-9150031-1671331">http://www.amazon.com/exec/obidos/tg/browse/-/283155/ref=tab_qw_b_3/102-9150031-1671331</a> (3 pp.), (7/8/02),
		AMAZON, "An exemplary online retail seller was accessible at the time of filing of the above-identified application", Via the Internet URL <a href="http://www.amazon.com">http://www.amazon.com</a> , (3/3/2000),
		BOOKPRICE, "BookPrice Compare.com Coupons and discounts", [online] [Retrieved on 7/8/2002] Retrieved from the Internet using <URL <a href="http://www.bookpricecompare.com/bin/search.cgi?find=Compare&amp;A_0618037667=1">http://www.bookpricecompare.com/bin/search.cgi?find=Compare&amp;A_0618037667=1</a> (1 p.), (7/8/02),
		BOOKS.COM, "An exemplary online shopping agent was accessible at the time of filing of the above-identified application", Via the Internet URL <a href="http://www.books.com">http://www.books.com</a> , (3/3/2000),
		EBAY, "An Exemplary online at the time of filing of the above-identified application", Via the Internet URL <a href="http://www.ebay.com">http://www.ebay.com</a> , (3/3/2000),
		EBAY, "eBayListing: Action & Adventure", [online] [Retrieved on 7/8/2002] Retrieved from the Internet using <URL <a href="http://listings.ebay.com/aw/plistings/list/all_category271/index.html?ssPageName=bookhubactionadventure">http://listings.ebay.com/aw/plistings/list/all_category271/index.html?ssPageName=bookhubactionadventure</a> (4 pp.), (7/8/02),
		IACOBUCCI, et al., "Recommendation agents on the Internet", Journal of Interactive Marketing, v14n3, 2000-07-01, PP. 2-11, (1/7/2000),
		KUMAR, P., et al., "Recommendation Systems", A probabilistic analysis, J. Computer Systems Science, Vol. 63, No. 1, August 2001, pp. 42-61,
		MCDONALD, D., et al., "Expertise Recommender", A Flexible Recommendation System and Architecture, Department of Information and Computer Science, 10 pages.,
<i>JS</i>		PRICELINE, "Priceline.com", Name your own price and save on airline tickets, hotelrooms, new cars, home mortgages...[online] [Retrieved on 7/8/2002] Retrieved from the Internet using <URL <a href="http://www.priceline.com/customerservice/faq/howitworks/howitworks.asp?session_key=D10011AC23001">http://www.priceline.com/customerservice/faq/howitworks/howitworks.asp?session_key=D10011AC23001</a> , (7/8/02),

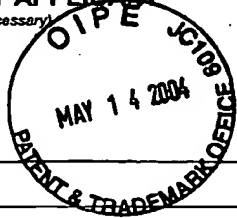
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Application Number	09/935-287
Filing Date	08/21/2001
First Named Inventor	Joshua M. Kopelman
Group Art Unit	<del>2465</del> 3627
Examiner Name	<del>W.A.</del> O'Connor

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Sheet 3 of 3

Attorney Docket No: P23,305-A USA

(Part of Paper #8) MAY 18 2004

**GROUP 3600**

<i>JE</i>	PRICELINE.COM, "An exemplary online reverse-auction was accessible at the time of filing of the above-identified application", <u>Via the Internet URL <a href="http://www.priceline.com">http://www.priceline.com</a>, (3/3/2000)</u> ,	
<i>JE</i>	SCHAFER, J B., et al., "E-Commerce Recommendation Applications", <u>GroupLens Research Project Dept. of Computer Science &amp; Engineering, University of Minnesota, Minneapolis, MN 55455., 1-24</u>	
<i>JE</i>	STOREFRONT DEVELOPMENT CORP., "Method For Buying And Selling Merchandise In A Network Of Users", <u>Excerpt of document titled "Method for Buying and Selling Merchandise In A Network of Users", a document alleged to have been filed as a patent application around June of 1999., (6/12/2000),17</u>	

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